04-04-01

PPLICATION OF: Dr. Shiyou Li SERIAL NO. 09/696042 FILED: October 25, 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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ATTY DKT NO. 44980-00005

GROUP ART UNIT: 1614

TITLE: A SYSTEM FOR INCREASING THE

PRODUCTION OF INDOLE AND **OUINOLINE ALKALOIDS,** §

PARTICULARLY CAMPTOTHECINS AND RELATED COMPOUNDS, FROM **PLANTS**

EXAMINER: Unassigned

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Commissioner for Patents Washington, D.C. 20231

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE MAILING OF FIRST OFFICE ACTION

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a First Office Action on the merits, whichever event occurs last. 37 CFR 1.97(b).

Dated: April 2, 2001

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Melanie Reese Capps

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Signature of Person Mailing Paper or Fee

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Dr. Shiyou Li

SERIAL NO. 09/696042

FILED: October 25, 2000

TITLE: A SYSTEM FOR INCREASING

THE PRODUCTION OF INDOLE

AND QUINOLINE ALKALOIDS, PARTICULARLY

CAMPTOTHECINS AND RELATED§

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EXPRESS MAIL CERTIFICATE (37 C.F.R §1.10)

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Dear Sir:

INFORMATION DISCLOSURE STATEMENT

In accordance with Applicant's duty under 37 C.F.R. § 1.56 and 1.97, Applicant hereby submits the attached form PTO-1449 (modified) which lists art cited. The art listed therein, while of some relevance, is not necessarily considered to teach or suggest any aspect of the invention described and claimed in the above-identified patent application. This statement is also not to be construed as a representation that a search has, or has not, been conducted or that no better art exists.

Ather, this statement discloses only the best art of which the Applicant is aware.

In considering the art set forth below, it may be noted by the Examiner that certain of the erences may contain markings, underlinings or other notations. These markings or notations are not to be construed as drawing the Examiner's attention either to selected parts or away from other parts of the references. Any such markings were either present on the copies of the references obtained by Applicant, or were made thereon during the study of the references by the Applicant and/or his attorneys.

The Examiner is respectfully requested to consider each of the cited references, indicate such consideration by initialing each reference on the enclosed Form PTO-1449 (modified) and return a copy of the same with the next communication to the Applicant. For the convenience of the Examiner in considering the references, copies of the cited references are enclosed with this communication.

Dated: April 3, 2001

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